

**Exhibit 300 (BY2008)**

<b>PART ONE</b>	
<b>OVERVIEW</b>	
<b>1. Date of Submission:</b>	2006-11-07
<b>2. Agency:</b>	015
<b>3. Bureau:</b>	35
<b>4. Investment Name:</b>	SaBRe
<b>5. UPI:</b>	015-35-01-14-01-1005-00
<b>6. What kind of investment will this be in FY2008?</b>	
Mixed Life Cycle	
<b>7. What was the first budget year this investment was submitted to OMB?</b>	
FY2001 or earlier	
<b>8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.</b>	
<p>SaBRe processes cash and security transactions that result when accrual savings bonds are sold or redeemed by FRB processing sites or by financial institutions and corporate entities designated as fiscal agents. FRB processing sites consolidate and report to SaBRe, daily issue and retirement transactions generated by that activity. SaBRe processes the transactions, updates electronic records that are used for customer service (SaBRe maintains the record of ownership for accrual savings securities), and reports daily financial transactions for inclusion in the Daily Treasury Statement. SaBRe's charter was to implement a processing platform that would position Public Debt to take advantage of emerging technologies and eliminates reliance upon matured technology as the means of maintaining data necessary to service its customers. Before SaBRe's implementation in June of 1998, accrual securities processing required 23 separate automated systems and was linked to the matured technologies of microfilm and tape processing. Masterfile updates took six weeks and online inquiry and processing capabilities were nonexistent. SaBRe streamlined processes to the point that classification and accounting files now are updated daily and customer-service representatives have online inquiry capability and current data with which to respond to customers' claims and routine inquiries. SaBRe functionality (receipt and control, audit, masterfile maintenance, online inquiry, security reporting, ledgers and accruals, and cash reporting) significantly reduced operational resource requirements while implementing leading-edge technology. Since November 2001 data files from agents have been submitted over the internet replacing cartridge submittal. New digital imaging retrieval capabilities were incorporated in 2003. This makes information more accessible to customer service reps, which are now able to search images on their own computer instead of forwarding the request to another area, which entailed significant delay and handling. SaBRe's flexibility facilitated a reorganization of the customer service operations in October 2005 and recently BPD centralized it's information technology resources. IPV6 is designed to solve the address depletion problem, improve security, re-establish end-to-end communications, improve mobility, and improve auto-configuration. BPD plans to keep abreast of new IPV6 features as they are introduced and evaluate their effectiveness to our customers.</p>	
<b>9. Did the Agency's Executive/Investment Committee approve this request?</b>	
Yes	
<b>9.a. If "yes," what was the date of this approval?</b>	
2006-08-09	
<b>10. Did the Project Manager review this Exhibit?</b>	
Yes	
<b>11. Project Manager Name:</b>	
<b>Project Manager Phone:</b>	
<b>Project Manager Email:</b>	
<b>12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.</b>	
Yes	
<b>12.a. Will this investment include electronic assets (including computers)?</b>	

Yes	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
No	
13. Does this investment support one of the PMA initiatives?	
Yes	
If yes, select the initiatives that apply:	
Expanded E-Government	
Financial Performance	
13.a. Briefly describe how this asset directly supports the identified initiative(s)?	
Financial performance: To improve input to Daily Treasury Statement, SaBRe eliminated cyclical financial reporting and implemented daily cash reporting practices, automated interest calculations and cash/security account reconciliations. Expanded E-Gov: Interfaces with web-enabled TreasuryDirect system to expedite conversion of definitive savings bonds to electronic accounts. Uses Internet file transfer protocols to speed the receipt and processing of transaction and accounting data.	
14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?	
Yes	
14.a. If yes, does this investment address a weakness found during the PART review?	
No	
14.b. If yes, what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?	
Administering the Public Debt	
14.c. If yes, what PART rating did it receive?	
Effective	
15. Is this investment for information technology (See section 53 for definition)?	
Yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 2	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?	
Yes	
19. Is this a financial management system?	
Yes	
19.a. If yes, does this investment address a FFMIA compliance area?	
Yes	
19.a.1. If yes, which compliance area:	
Financial System Requirements, Federal Accounting Standards, and Standard General Ledger at the transaction level.	
19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.	
Savings Bond Replacement (SABRE)	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	
Hardware	5
Software	4
Services	0
Other	91
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	

N/A			
22. Contact information of individual responsible for privacy related questions.			
Name			
Phone Number			
Title			
Disclosure Officer			
Email			
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?			
Yes			
<b>SUMMARY OF SPEND</b>			
1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.			
All amounts represent Budget Authority			
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)			
	PY-1 & Earlier	PY	CY
	-2005	2006	2007
Planning Budgetary Resources	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.000	0.380
Maintenance Budgetary Resources	9.283	1.283	1.310
Government FTE Cost	2.943	3.061	3.183
# of FTEs	28	30	31
Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).			
Government FTE Costs should not be included as part of the TOTAL represented.			
2. Will this project require the agency to hire additional FTE's?			
No			
<b>PERFORMANCE</b>			
In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.			
Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.			
Table 1			

	Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
1	2003	Treasury strategic goal: Manage the U.S. Government's Finances Effectively. BPD strategic goal: Fulfill customer expectations - Provide quality service to Treasury securities investors.	Maintain GPRA goal of 90% of customer service transactions handled within three weeks.	98% of customer service transactions handled within three weeks.	Percentage of customer service transactions handled within three weeks.	During FY03, over 98% of customer service transactions were completed within three weeks.
2	2004	Treasury strategic goal: Manage the U.S. Government's Finances Effectively. BPD strategic goal: Fulfill customer expectations - Provide quality service to Treasury securities investors.	Improve customer response time: 90% of customer service transactions handled in 13 business days.	92.5% of customer service transactions handled within 13 business days.	Percentage of customer service transactions handled within 13 business days	92.5% during FY04.
3	2005	Treasury strategic goal: Manage the U.S. Government's Finances Effectively. BPD strategic goal: Fulfill customer expectations - Provide quality service to Treasury securities investors.	Maintain customer response time: 90% of customer service transactions handled within 13 business days.	92.5% of customer service transactions handled within thirteen business days.	Maintain service level of 90% of customer service transactions handled within 13 business days.	88.7% as of Fourth quarter FY2005.
4	2005	Treasury strategic goal: Manage the U.S. Government's Finances Effectively. BPD strategic goal: Effectively account for the debt of the federal government.	Provide classified savings bond data to PARS by 7:00 AM 99% of the time.	SaBRE provided savings bond classified data to PARS by 7:00am 98% of the time.	Based on daily SaBRE/PARS report available 99% of the time.	250 out of 250 (100%) SaBRE/PARS reports provided by 7:00am during FY05.
5	2006	Treasury strategic goal: Manage the U.S. Government's Finances Effectively. BPD strategic goal: Effectively account for the debt of the federal government.	Provide classified savings bond data to PARS by 7:00 AM 99% of the time.	SaBRE provided savings bond classified data to PARS by 7:00am 100% of the time.	Based on daily SaBRE/PARS report available 99% of the time.	250 out of 250 (100%) SaBRE/PARS reports provided by 7:00am during FY06.
6	2006	Treasury strategic goal: Manage the U.S. Government's Finances Effectively. BPD strategic goal:	Maintain online system access 68 hours/wk 95% of the time.	Maintain online system access 68 hours/wk 90% of the time.	Based on system availability 95% of the time	27:51:59 downtime out of 8760 available hours for 99.7% availability during FY06.

		Effectively account for the debt of the federal government.				
7	2006	Treasury strategic goal: Manage the U.S. Government's Finances Effectively. BPD strategic goal: Fulfill customer expectations - Provide quality service to Treasury securities investors.	Maintain customer response time: 90% of customer service transactions handled within 13 business days.	88.7% of customer service transactions handled within thirteen business days.	Based on 90% of customer service transactions handled within 13 business days.	1,104,432 of 1,127,477 customer service transactions (98%) were handled within 13 business days during FY06.
8	2007	Treasury strategic goal: Manage the U.S. Government's Finances Effectively. BPD strategic goal: Effectively account for the debt of the federal government.	Maintain 24/7 system access 99.7% of the time.	Maintain 24/7 online system access 99.7% of the time.	Based on system availability 99.7% of the time	3:16:04 downtime out of 2208 available hours for 99.85% availability during 1st quarter of FY07.
9	2007	Treasury strategic goal: Manage the U.S. Government's Finances Effectively. BPD strategic goal: Fulfill customer expectations - Provide quality service to Treasury securities investors.	Maintain customer response time: 90% of internal customer service transactions handled within an acceptable timeframe.	98% of internal customer service transactions handled within 15 business days.	Based on 90% of internal customer service transactions handled within 15 business days.	83,604 of 84,721 (98.7%) internal customer service transactions were handled within 15 business days during 1st quarter of FY07
10	2007	Treasury strategic goal: Manage the U.S. Government's Finances Effectively. BPD strategic goal: Fulfill customer expectations - Provide quality service to Treasury securities investors.	Maintain customer response time: 90% of GPRA customer service transactions handled within an acceptable timeframe.	98% of Government Performance Results Act (GPRA) customer service transactions handled within 12 business days.	Based on 90% of GPRA customer service transactions handled within 12 business days.	162,652 of 163,391 (99.5%) GPRA customer service transactions were handled within 12 business days during 1st quarter of FY07
11	2007	Treasury strategic goal: Manage the U.S. Government's Finances Effectively. BPD strategic goal: Effectively account for the debt of the federal government.	Provide classified savings bond data to PARS by 7:00 AM 99% of the time.	SaBRE provided savings bond classified data to PARS by 7:00am 100% of the time.	Based on daily SaBRE/PARS report available 99% of the time.	61 out of 61 (100%) SaBRE/PARS reports provided by 7:00am during 1st quarter FY07.

*All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at [www.egov.gov](http://www.egov.gov).*

Table 2

	Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results	
<b>EA</b>								
In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.								
1. Is this investment included in your agency's target enterprise architecture?								
Yes								
2. Is this investment included in the agency's EA Transition Strategy?								
Yes								
2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.								
SaBRe								
3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <a href="http://www.whitehouse.gov/omb/egov/">http://www.whitehouse.gov/omb/egov/</a> .								
Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.								
Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.								
Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.								
Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.								
	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Auditing	Audits savings bond transactions.	Financial Management	Auditing			No Reuse	65
2	Standardized/Canned	Supports the use of pre-written reports	Reporting	Standardized / Canned			No Reuse	35
4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.								
FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.								
Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.								
	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)			

1	Auditing	Service Platform and Infrastructure	Database / Storage	Database	
2	Standardized / Canned	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
3	Standardized / Canned	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	
4	Standardized / Canned	Service Interface and Integration	Integration	Middleware	

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

5.a. If yes, please describe.

No

6. Does this investment provide the public with access to a government automated information system?

No

**PART TWO**

**RISK**

*You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.*

*Answer the following questions to describe how you are managing investment risks.*

1. Does the investment have a Risk Management Plan?

Yes

1.a. If yes, what is the date of the plan?

2006-09-30

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

No

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (O&M investments do NOT need to answer.)

SaBRe was implemented in June of 1998 and has been a O&M investment through FY2006. Starting in FY2007 SaBRe became a DME/Mixed Life Cycle investment. In the EVM Planning and Analysis guide, the costs of the SaBRe investment have been identified for the entire life cycle. Due to the age and stability of the investment the life cycle cost estimate and investment schedule are solidly based on previous data. The DME is budgeted for in FY 2007 and based on proven technology already employed by BPD with minimal disruptions to the current environment. Lastly, all costs associated with the mitigation strategies outlined for each of the 19 risk categories are included under the current life cycle cost estimate and investment schedule including government FTE costs.

**COST & SCHEDULE**

*Does the earned value management system meet the criteria in ANSI/EIA Standard 748?*

Yes

*What costs are included in the reported Cost/Schedule Performance information?*

Government Only

2.e. As of date:

2006-12-31

7.c. If yes, what corrective actions are being taken?

There is no variance at this time.

8. Have any significant changes been made to the baseline during the past fiscal year?

No

